Pathobiology of pulmonary arterial hypertension and right ventricular failure

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ABSTRACT: Pulmonary arterial hypertension (PAH) is no longer an orphan disease. There are three different classes of drugs for the treatment of PAH that are currently being used and an increasing number of patients are being treated with a single drug or combination therapy. During the last 25 yrs, new insights into the pathobiology of PAH have been gained. The classical mechanical concepts of pressure, flow, shear stress, right ventricle wall stress and impedance have been complemented with the new concepts of cell injury and repair and interactions of complex multicellular systems. Integrating these concepts will become critical as we design new medical therapies in order to change the prognosis of patients with these fatal diseases. This review intends to summarise recent pathobiological concepts of PAH and right ventricle failure mainly derived from human studies, which reflect the progress made in the understanding of this complex group of pulmonary vascular diseases.

KEYWORDS: Bone morphogenic type II receptor, genetics, growth factors, inflammation

Pulmonary hypertension (PH), like systemic hypertension, is not a single disease but a group of diseases that share the defining element of a mean pulmonary arterial pressure (Ppa) ≥ 25 mmHg. PH has been classified and divided into five groups [1]. The World Health Organization Group I, or pulmonary arterial hypertension (PAH), includes diseases characterised by elevated Ppa and elevated blood flow resistance due to a pre-capillary pulmonary microangiopathy. Whereas 25 yrs earlier idiopathic PAH (IPAH) was a disease of young females, data from the Registry to Evaluate Early and Long Term PAH Disease Management (REVEAL) now indicate that, at least in the USA, the phenotype of PAH has significantly changed. Today, up to 79% of patients are females close to menopausal age, with a higher predominance of females (3.6 to 1 female to male ratio) and a large percentage of obesity [2]. However, there is an apparent discrepancy in the PAH phenotype between US and European registries [3–5], perhaps suggesting the presence of currently unidentified environmental factors that could contribute to the development and progression of the disease.

PAH is no longer an orphan disease [6]. In the last 15 yrs, three classes of drugs have been developed and an increasing number of patients are being treated with a single drug or combination therapy [7, 8]. The advent of PAH-specific therapies has improved the survival of PAH patients in comparison to historical registries. However, in spite of treatment, the mortality remains unacceptably high and survival for incident patients continues to be as low as 54.9% 3 yrs after diagnosis (95% CI 41.8–68.0) [9].

Although the structural changes that explain the “fixed PH” of PAH patients have been described in recent decades [10–12] and major changes to the “classic” pathobiology of PAH have been described [13, 14], current PAH-specific drugs are predominantly vasodilators (although some anti-proliferative properties have been reported in cultured normal lung cells) [15]. Furthermore, the mechanisms underlying right ventricular failure (RVF) are incompletely understood [16, 17]. This review intends to put into perspective the pathobiological concepts of PAH and RVF, mainly derived from human studies, which reflect the progress that has been made in the understanding of this complex group of pulmonary vascular diseases.

GENETIC INFLUENCES AND EPIGENETICS IN PAH

The pathogenesis of PAH is based on one or more “hits”, and genetic factors conferring a
THE PARADIGM SHIFT: FROM VASOCONSTRICTION TO CELL GROWTH

Terms such as "cell phenotype switch", "apoptosis resistance" and "angiogenic niche" have introduced a new vocabulary which can be used to explain the pathobiology of PAH [40]. The early pioneers of PH research focused their attention on hypoxic vasoconstriction [41], and because the arachidonic acid-derived prostacyclin is a powerful inhibitor of hypoxic pulmonary vasoconstriction [42], it was thus intuitive to establish prostacyclin infusion as the first treatment for severe PAH [43]. Since then, rapid progress has been made. Our knowledge of the cellular and molecular components involved in the pathobiology of PAH has expanded and these new insights are likely to change our future approach to the treatment of PAH. We now regard the plexiform pulmonary vascular lesions as a product of an angioproliferative process leading to vascular occlusion (angio-obliteration) [13, 40] and we appreciate that these lesions are composed of a multitude of cell types, among them: actively dividing and phenotypically abnormal apoptosis-resistant endothelial cells with a monoclonal origin [44], progenitor cells [45] and immune cells [46–48]. Immunohistochemistry, using antibodies directed against cell surface epitopes and nuclear markers of cell activation, identifies different cell phenotypes with their expressed genes and proteins [40]. Hallmarks of cancer in angioproliferative PAH have been described, in particular, in angiogenesis [13], metabolic changes [49] and apoptosis-resistant cells [50]. Based on the therapy refractoriness of PAH, a hypothesis of "quasi-malignancy" has been proposed [40]: apoptosis may be the initiating event followed by the selection of proliferating apoptosis-resistant cells, some of which may be stem cells (fig. 1). PAH plexiform lesions also show decreased expression of the tumour-suppressor protein p53 (K562-like), while exhibiting increased expression of β-catenin, CXCR4 (a receptor involved in metastatic cell homing) and survivin, among others [40]. Survivin, an inhibitor of apoptosis, is expressed in many cancers, and cultured cells isolated from the lungs of PAH patients exhibit a markedly increased expression of survivin. Moreover, experimental gene therapy targeting this protein suppresses proliferation and ameliorates PAH in rats [52]. Another hallmark of cancer is the metabolic switch towards glycolysis (Warburg effect, perhaps as a defence against oxidative damage). Pulmonary arterial endothelial cells from IPAH patients cultured in vitro demonstrate reduced oxygen consumption, reduced mitochondrial respiration and increased glycolytic metabolism [49].

The "quasi-malignancy" disease model also accommodates the concept of a cancer stem cell/angiogenic niche [40]. Precursor cells can be transported into the pulmonary vascular adventitia via the vasa vasorum [53], stem cells can divide and migrate from a niche at the media-adventitia border zone [54] and pulmonary microvascular endothelial cell stem cells of small arteries [55] may proliferate to the point of lumen obliteration. It is presently unclear whether stem and precursor cells are friends or foes. For example, autologous endothelial progenitor cell transplantation therapy for PAH has reported positive results [56]. However, this early trial has been criticised [57] because the cells utilised for the clinical trial were not "true" progenitors. Conversely, anti c-Kit (a stem cell marker)
FIGURE 1. Hallmarks of severe angioproliferative pulmonary arterial hypertension. Genetic determinants, epigenetic factors and other conditions synergise and result in the injury and apoptosis of pulmonary arteriolar endothelial cells. Endothelial cell apoptosis triggers compensatory proliferation of phenotypically abnormal endothelial cells. Apoptosis may also be the initiating event, activating the bone marrow to release precursor and stem cells and eliciting a local immune response of the vascular wall. The end result is the complex vascular lesion, the plexiform lesion. These complex lesions obliterate the lumen of small arteries, predominantly localised to vessel bifurcations. The mature lesions are composed of endothelial cells (dark purple), smooth muscle cells (yellow), apoptotic cells (purple) and phenotypically altered apoptosis-resistant endothelial cells (red). These cells, together inflammatory cells, populate a microenvironment of exuberant cell growth. BMPR2: bone morphogenic type II receptor; ALK-1: activin A receptor type-II like-1; HSC: hematopoietic stem cell; EPC: endothelial progenitor cell.
treatment for PAH with the tyrosine kinase inhibitor imatinib mesylate, has demonstrated anecdotal positive results [58]. Stem cell-based gene therapy is currently being investigated in a clinical trial (Clinicaltrials.gov NCT00469027).

GROWTH FACTORS AND RECEPTOR TYROSINE KINASES

Many potent cell growth factors are overexpressed in IPAH vascular lesions, and it is probable that these growth factors and their receptor tyrosine kinases contribute to cell growth and vessel obliteration. Platelet derived growth factor (PDGF) has been extensively studied in PAH [59], and it has been shown that PDGF can induce the proliferation and migration of smooth muscle cells and fibroblasts. PDGF has been proposed as a key mediator in the progression of several fibroproliferative disorders. It was postulated that PDGF receptor blockers could reverse PAH, based on the case report of a patient with end-stage IPAH treated with imatinib mesylate. Subsequently, a clinical safety trial evaluating imatinib mesylate as a treatment of advanced forms of PAH was performed [60]. The data showed a significant decrease in pulmonary vascular resistance (PVR) and a moderate increase in cardiac output, but no significant change in pulmonary artery pressures, leading to the conclusion that imatinib mesylate may have a limited role in the treatment of IPAH patients. Other tyrosine kinase inhibitors for PAH are being studied [61, 62]. It is important that the tyrosine kinase inhibitors imatinib, sorafenib and nilotinib were shown in animal studies to be acute pulmonary vasodilators [63]. However, the vasodilator effect in clinical studies of PAH was not prominent. This is an example that identifying highly specific molecular targets in PAH animal models that do not reproduce the salient features of human PAH may be misleading and the drug effect may be lost in translation [37, 64]. Paradoxically, dasatinib, a multi-tyrosine kinase inhibitor, has been associated with the development of PAH [65, 66].

INFLAMMATION AND IMMUNE RESPONSE

The association of autoimmune disorders with PAH and the presence of antinuclear and anti-phospholipid antibodies in the serum of patients with IPAH has been appreciated for many years [67]. There is more evidence that lymphoid neogenesis occurs in IPAH; macrophages, mast cells, T- and B-lymphocytes, plasma cells and anti-endothelial cell antibodies are present in and around the complex pulmonary vascular lesions in IPAH patients [13, 48]. Serum levels of IL-1 and IL-6 are high in IPAH patients [68], and serum IL-6 levels negatively predict patient survival [69]. However, whether inflammation and aberrant immune responses in IPAH are cause or consequence remains unknown. It is probable that PH occurs when an inflammatory pulmonary arteriolar injury is not resolved by (normally) protective, innate anti-inflammatory mechanisms. Regulatory T-cells (Tregs) not only control other T-cells but also regulate monocytes, macrophages, dendritic cells, natural killer cells and B cells [70], and recent evidence suggests that decreased Treg cell number or function may favour the development of PH [71]. For example, conditions associated with PAH, such as HIV, systemic sclerosis, systemic lupus erythematosus, Hashimoto's thyroiditis, Sjögren's syndrome and the anti-phospholipid syndrome are characterised by abnormal CD4+ T-cell number and function [72–77]. At the experimental level, athymic rats lacking T-cells develop pronounced PH after vascular injury with a vascular endothelial growth factor receptor blocker. The lungs in these animals are populated by infiltrating macrophages, mast cells and B cells, similar to human PAH lesions [71, 78]. Most importantly, PH is prevented by immune reconstitution of Tregs prior to the induction of vascular injury [71]. Altogether, it is a possibility that aberrant Treg cell function in the face of vascular injury can result in heightened innate and adaptive immune responses that could initiate and/or propagate the development of PH (fig. 2) [79]. Despite the increasing evidence suggesting a role for immune deregulation in PAH, there is only limited anecdotal evidence suggesting that PH associated with connective tissue disorders, such as systemic lupus erythematosus and systemic sclerosis, or viral infections, such as human herpes virus-8, can respond to glucocorticoids or targeted B cell depletion [80, 81]. SANCHEZ et al. [82] and JAS et al. [83] reported on the treatment of patients with mixed connective tissue disease-, or systemic lupus erythematosus-associated PH, where corticosteroid and cyclophosphamide have been used as first-line drugs. The effectiveness of B cell depletion is currently being put to the test with a National Institute of Health trial examining the effectiveness of rituximab, a chimeric monoclonal antibody against the protein CD20 and primarily expressed by B-cells, for systemic sclerosis-associated PH (Clinicaltrials.gov NCT01086540).

It is becoming apparent that circulating factors can probably amplify lung vascular injury, attract immune cells and/or repair cells which respond to a variety of chemotactic stimuli, perhaps suggesting a systemic disease component contributing to the development or progression of PAH. In the modern era of PAH treatment, where standard vasodilation therapies have failed to reverse or stop the progression of PAH, novel targets such as discrete immune pathways hold promise. However, new drugs and clinical trials will require the assessment of which patients may respond to anti-inflammatory treatment strategies.

THE RIGHT VENTRICLE–LUNG CIRCULATION AXIS

Patients with severe PAH die from RVF. The interactions between the remodelled lung circulation and the RV are only partially understood [16]. RVF is commonly seen as a consequence of chronic RV pressure overload and a developing imbalance between RV oxygen demand and supply, sometimes culminating into RV ischaemia. Indeed, increased Ppa increases RV wall tension and oxygen consumption, and at the same time is associated with compromised coronary blood flow. However, and remarkably, patients with Eisenmenger's syndrome physiology generate a degree of RV afterload similar to that of patients with IPAH, but have a much better survival and only develop overt RVF at a late stage of their disease [84]. Similarly, some patients, at the time of PAH diagnosis, present with signs and symptoms of RVF while other patients with the same degree of RV afterload present in New York Heart Association (NYHA) functional class I with no signs of RVF. Based on mechanistic animal studies, it has been proposed that, in addition to pressure overload, other factors, perhaps products of the sick lung circulation, contribute to RV dysfunction (fig. 3) [85, 86]. Similar to left heart failure, it has been postulated that RVF is characterised by an abnormal energy metabolism [87]. Positron emission tomography studies have demonstrated increased accumulation of the radiopharmaceutical glucose analogue 18F-2-deoxy-2-fluoro-D-glucose (18FDG) in the RV of
PAH patients, in comparison to normal subjects [88, 89]. However, increased, 18FDG uptake does not seem to correlate with disease severity. A recent study showed no difference in 18FDG uptake between NYHA class II and class III PAH patients, even when there was a clear-cut difference in cardiac output [90]. Moreover, this study found no correlation between RV18FDG uptake and RV mechanical efficiency. It is important to remember that 18FDG uptake studies have limitations and that the physiological interpretation is not straightforward. Tracer uptake can be influenced by multiple factors [91]. Moreover, 18FDG avidity represents the total amount of 18FDG present in the tissue at the time of image acquisition [92], which is not necessarily equal to the total amount of 18FDG absorption by the cell. Instead, the scan also captures the 18FDG present in the blood and intercellular spaces. Perhaps the most prominent limitation of 18FDG study interpretation is explained by its chemical structure. 18FDG lacks the functional hydroxyl group that is required for the enzyme phosphoglucose isomerase (the second enzymatic step of glycolysis) to function properly [93]. Briefly, 18FDG cannot be further metabolised inside the cells. Thus, 18FDG uptake studies are too crude to identify a “metabolic switch” in the failing RV. Whereas, experimental studies have demonstrated that RVF exhibits increased expression of glycolysis-related genes [94] and increased enzymatic glycolysis rates [95], a complete characterisation of RV “metabolic remodelling” in human PAH-associated RVF is still lacking.

Noninvasive imaging technologies, in particular echocardiography and cardiac magnetic resonance imaging (CMRI), are now providing a more complete assessment of the structural and functional changes of the failing and non-failing RV in the setting of chronic PAH. Whereas changes in longitudinal shortening (tricuspid annulus plane systolic excursion) have been the standard measure to evaluate RV dysfunction [96], other changes in RV morphology have been shown to correlate with survival. For example, a large RV volume and impaired left ventricular filling, assessed by CMRI, are strong independent predictors of mortality and treatment failure [97]. It has

**FIGURE 2.** Participation of the immune system in pulmonary vascular remodelling. The initial arteriolar endothelial cell injury can be due to mechanical stress, toxins or antibodies. The “two-hit” hypothesis considers that defective immune regulation, such as in HIV/AIDS and scleroderma, participates in the lumen-obliterating cell growth [1] and in the impaired injury resolution [2]. The disease model depicted here reflects the complexity of multicellular interactions within and around the vascular wall and the active role of the bone marrow and inflammatory and immune cells in the pathobiology of pulmonary arterial hypertension. The multi-growth factor-producing mast cell was the first of several immune cells to be identified in the perivascular space of pulmonary arterioles in lungs from patients with severe pulmonary hypertension. It may populate these vessels because of hyperplasia (in situ expansion of mast cell number) or after being recruited from the bone marrow. An impaired activity of regulatory T-cells may facilitate the angio-obliteration. HSC: hematopoietic stem cell.
also been reported that decreased RV longitudinal shortening and free-wall motion at the time of diagnosis do not significantly change over time, whereas RV transverse shortening continues to decline over time in non-survivor PAH patients [98]. RV transverse shortening could be particularly valuable for the monitoring of treatment success or failure, as we move forward with clinical trials for RV-targeted therapies. Measurement of RV free wall longitudinal deformation (strain) and the rate of deformation by speckle-tracking strain echocardiography have been shown to identify patients with greater severity of RV dysfunction [99]. Most importantly, changes in RV strain correlate significantly with functional class and can predict mortality. Finally, the prognostic importance of RVF in patients with PAH has recently been revisited. VAN DE VEERDONK et al. [100] reported that although elevated PVR (in treated PAH patients) is associated with higher mortality, the RV ejection fraction (measured by CMRI) is the strongest predictor of survival, even after a response to vasodilator therapy has been established. Other noninvasive imaging tools to evaluate RV dysfunction in PAH patients have been described previously [101–104].

CHALLENGES OF PAH TREATMENT
The future of the medical management of PAH faces multiple obstacles. 1) In spite of improved screening tools, PAH is not discovered during its early stage and treatment is often delayed. Data from the REVEAL registry indicate that the lag-time from the onset of symptoms to right heart catheterisation has not changed compared with the data published based on the first National Institute of Health registry [105]. 2) Although improvements in survival have been demonstrated in clinical trials, the overall patient survival in the “modern era” remains low and the survival of “incident” PAH patients appears to be similar to that of the idiopathic PH patients enrolled in the first National Institute of Health registry [8]. Indeed, the concept of vasodilator drug treatment for PAH has become controversial in the last decade (for reasons discussed previously). In one meta-analysis, MACCHIA and co-workers [106, 107] called PAH “a clinical condition looking for new drugs and research methodology” and stated “whether current therapies of PAH improve long-term survival has never been demonstrated in a randomised clinical trial”. However, the meta-analysis of MACCHIA and co-workers [106, 107] was
criticised due to the fact that many of the studies assessed were underpowered to determine mortality [108]. A later meta-analysis by Galié et al. [108], which included 23 randomised controlled trials performed exclusively in PAH patients, reported that a reduction of 43% in overall mortality was observed in the groups randomised to active treatments when compared with those randomised to placebo. However, the average duration of the trials assessed was limited (14.3 weeks) and, with the exception of the first epoprostenol trial [43], none of the trials defined survival as a primary end-point. 3) Future therapeutic strategies face one particular critical paradox: while the remodelled lung circulation in PAH is characterised by angiogenesis, apoptosis resistance and cell proliferation, the failing RV suffers from ischaemia [109], capillary rarefraction and cardiomyocyte apoptosis [86], conditions that could be worsened by pharmacotherapy targeting pulmonary vascular remodelling. One example could be the tyrosine kinase inhibitors, which are known to be cardiotoxic [110].

CONCLUSIONS

PAH is increasingly recognised not as one disease but as a group of diseases where genetic susceptibility renders patients vulnerable to a chronic pulmonary microangiopathy. It appears that increased afterload of the RV is insufficient to explain right heart failure, as it is best illustrated by the better prognosis and longer survival of patients with Eisenmenger’s physiology. Further explorations of the molecular mechanisms of cell reprogramming in PAH, in both the lung vessels and the heart, should lead to a better understanding of this group of diseases. The classical pathophysiology of PAH, which operates with the mechanical concepts of pressure, flow, shear stress, RV wall stress and impedance, should be complemented with the new pathobiological concepts of cell injury and repair and interactions of complex multicellular systems (fig. 4). Precursor and stem cells derived from the bone marrow and resident lung vascular stem cells should also be considered as active players in the process of pulmonary vascular wound healing “gone awry”.

FIGURE 4. Integration of haemodynamic and cellular events that characterise the cardiopulmonary disease of severe pulmonary arterial hypertension (PAH). The pathophysiology of PAH, which operates via mechanical concepts, is complemented with concepts of cell injury and repair and interactions of complex multicellular systems. The model is built on the principle of abnormal pulmonary blood flow. Regional blood flow abnormalities underlie the pulmonary vascular remodelling, which in turn causes further alterations of the regional blood flow, perpetuating a cycle of cell death and cell proliferation. Vascular rarefaction occurs in the form of pruning of the small lung vessels, and in the right ventricle in the form of myocardial capillary rarefaction.
Many questions remain. Is there an early vasoreactive disease phase in PAH? Can the inflammatory disease component, discussed above, be treated in most patients? Do patients with reactive PAH (treatable with calcium-channel blockers) and PAH patients with NYHA class I, which does not progress after several years, represent pathogenetically unique subgroups? The former, a vasospastic variant, the latter, a variant characterised by a robust afterload and stress resilient right ventricle. Can prevention of RV dysfunction prolong life?

As new treatment strategies appear on the horizon, clinical trials should consider investigating the mechanisms of treatment success or treatment failure by comparing treatment responders and non-responders, as is currently the novel approach developed for cancer treatment trials [111, 112]. PAH should be viewed as a cardiopulmonary system disease, which is based on the paradox of apoptosis, apoptosis-resistant growth of phenotypically abnormal cells and angiogenesis in the lungs, and apoptosis and capillary loss in the heart. Thus, new therapeutic strategies should consider that drugs originally designed to tackle angiogenesis in PAH lungs could potentially have a profound, negative impact on the failing RV. Integrating new pathobiological concepts will become critical as we design new medical therapies in order to change the prognosis of patients with this fatal group of diseases.

STATEMENT OF INTEREST
None declared.

REFERENCES


